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37 C.F.R. 1.6	
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April 29, 2002	David L. Parker
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Leon et al.

Serial No.: 09/550,752

Filed: April 17, 2000

For: SYSTEM AND METHOD OF
INVESTMENT MANAGEMENT
INCLUDING MEANS TO ADJUST
DEPOSIT AND LOAN DOCUMENTS
FOR INFLATION

Group Art Unit: 2164

Examiner: Kyle, Charles R.

Atty. Dkt. No.: TTHC:0037DLP

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RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT

Commissioner for Patents
Washington, D.C. 20231

Commissioner:

This paper is submitted in response to the Notice of Non-Compliant Amendment dated March 27, 2002 for which the three-month date for response is April 27, 2002.

It is believed that no fee is due; however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason, the Commissioner is authorized to deduct said fees from Fulbright & Jaworski L.L.P. Account No.: 50-1212/10013416/DLP.

PRELIMINARY MATTERS

Attached to this response is an Election Under 37 §§3.71 and 3.73 and Power of Attorney. Accordingly, please direct all future correspondence for this matter to the following:

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600 Congress Ave., Suite 2400
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(512) 536-3055
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RESPONSE TO NOTICE

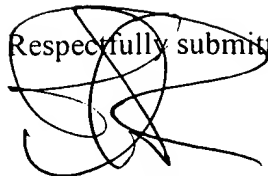
Applicants observe that the present case is a continuation filed on April 17, 2000. In a preliminary amendment filed with the filing of the continuation on April 17, 2000, the Applicants canceled original claims 1-33, and added new claims 34-45. These amendments were fully made in accordance with the rules in existence at that time. Indeed, new rule 37 C.F.R. § 1.121 was put into place in November, 2000 and thus would not govern the preliminary amendment filed April 17, 2000.

Furthermore, in that claims were only canceled and added, not amended, the April 17, 2000 submission, which itself provided a complete listing of all of the pending claims (i.e., newly added claims 34-45), that amendment is proper even under the new rule 121.

CONCLUSION

Applicants believe that the foregoing remarks fully respond to all outstanding matters for this application. The Examiner may contact the undersigned attorney at (512) 536-3055 with any questions he may have.

Respectfully submitted,



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Date: April 29, 2002